1. **PURPOSE**

1.1. This procedure establishes the process for an individual designated to review and approve exempt Human Research to conduct such a review.

1.2. This procedure begins when an individual designated to review and approve exempt Human Research has received a research proposal.

1.3. This procedure ends when the reviewer has either:

   1.3.1. Approved the proposal as exempt Human Research
   
   1.3.2. Referred the proposal to the IRB

2. **POLICY**

2.1. Individuals designated to review and approve exempt Human Research are to:

   2.1.1. By January 1 and July 1 of each year, provide the IRB office with a list of approved exempt Human Research documented as required by this SOP.
   
   2.1.2. Maintain the records required this SOP for three years after the last reviewer action or after withdrawal by the submitter.
   
   2.1.3. Ensure that records are accessible for inspection and copying by the IRB at reasonable times and in a reasonable manner.

3. **RESPONSIBILITY**

3.1. Individuals designated to review and approve exempt Human Research carry out these procedures.

4. **PROCEDURE**


4.2. Determine whether the project is Human Research.

   4.2.1. Use “WORKSHEET: Human Research (HRP-421)”

   4.2.2. If the project is not or may not be Human Research, refer the submission to the IRB.

4.3. If the project is Human Research, determine whether the project can be approved as exempt Human Research by using “WORKSHEET: Exemptions (HRP-423).”

   4.3.1. If unsure whether the project is exempt Human Research, request that the submitter submit the project to the IRB.

   4.3.2. If not approvable as exempt Human Research, request that the submitter modify the project or submit the project to the IRB.

   4.3.3. If approved as exempt Human Research, ensure the submitter will comply with:

       4.3.3.1. POLICY: Investigator Obligations (HRP-070)
       
       4.3.3.2. POLICY: Prompt Reporting Requirements (HRP-071)

4.4. Document the project name, investigator name, date approved, and category of exemption

   4.4.1. Project name
   
   4.4.2. Investigator name
   
   4.4.3. Date approved
   
   4.4.4. Category of exemption

4.5. File the records required by “POLICY: IRB Records (HRP-023)”

5. **REFERENCES**

5.1. None