



**Institutional Biosafety Committee**  
1111 W. 17<sup>th</sup> Street  
Tulsa, OK 74107

**For Office Use ONLY:**

Date Received: \_\_\_\_\_

Protocol Number: \_\_\_\_\_

Date Approved: \_\_\_\_\_

Expiration Date: \_\_\_\_\_

**Instructions: Complete electronically. No handwritten versions will be accepted. Send fully signed application to Office of Research.**

## Recombinant DNA Application Form

**A. Investigator Information:**

Principal Investigator (PI) Name:	
Professional Title:	
Department:	
Campus Address:	
Office Phone Number:	
Emergency Phone Number:	
E-mail Address:	

Co-Principal Investigator (Co-PI) Name:	
Professional Title:	
Department:	
Campus Address:	
Office Phone Number:	
Emergency Phone Number:	
E-mail Address:	

Investigator Assurances:

Pursuant to applicable State and Federal laws and regulations and Oklahoma State University policies and procedures:

- To the best of my knowledge, I affirm that all information contained herein is accurate and complete.
- I agree to accept responsibility for the training of all personnel involved in this research and that all personnel have been trained.
- I understand that any and all changes must be reported in writing to the IBC in the prescribed format, and that IBC approval shall be obtained prior to implementation of these changes.

\_\_\_\_\_  
Principal Investigator Name

\_\_\_\_\_  
Principal Investigator Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Co-Responsible Faculty Name

\_\_\_\_\_  
Co-Responsible Faculty Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Department Head Name

\_\_\_\_\_  
Department Head Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Dean/Research Director Name

\_\_\_\_\_  
Dean/Research Director Signature

\_\_\_\_\_  
Date

**B. Project Information:**

<b>Project Title:</b>	
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<b>Funding Agency:</b>	
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<b>Project Summary/Abstract: Please describe your project clearly and simply.</b>

<b>Project Personnel:</b>	
Name:	<b>Initials acknowledging project participation:</b>
Relevant Training/Experience:	Project Responsibilities:
Name:	<b>Initials acknowledging project participation:</b>
Relevant Training/Experience:	Project Responsibilities:
Name:	<b>Initials acknowledging project participation:</b>
Relevant Training/Experience:	Project Responsibilities:

<b>Will any foreign nationals (non-US citizens without a green card) be working on this project?</b>	<input type="checkbox"/> no <input type="checkbox"/> yes
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<b>NIH Classification: Please refer to the NIH Guidelines Summary and Risk Groups link to assist in determining Risk Group and appropriate NIH Classification, <a href="http://compliance.vpr.okstate.edu/IBC/NIH_Guidelines_Summary.aspx">http://compliance.vpr.okstate.edu/IBC/NIH_Guidelines_Summary.aspx</a></b>		
<input type="checkbox"/>	Experiments using Risk Group 2, Risk Group 3, Risk Group 4, or Restricted Agents as Host-Vector Systems	III-D-1
<input type="checkbox"/>	Experiments in which DNA from Risk Group 2, Risk Group 3, Risk Group 4, or Restricted Agents is cloned into nonpathogenic prokaryotic or lower eukaryotic host-vector systems	III-D-2
<input type="checkbox"/>	Experiments involving the use of infectious DNA or RNA viruses or defective DNA or RNA viruses in the presence of helper virus in tissue culture systems	III-D-3
<input type="checkbox"/>	Experiments involving whole animals	III-D-4
<input type="checkbox"/>	Experiments involving whole plants	III-D-5 and/or III-E-2
<input type="checkbox"/>	Experiments involving more than 10 liters of culture	III-D-6
<input type="checkbox"/>	Experiments involving the formation of recombinant DNA molecules containing no more than two-thirds of the genome of any eukaryotic virus	III-E-1
<input type="checkbox"/>	Experiments involving transgenic rodents	III-E-3
<input type="checkbox"/>	Exempt Experiment(s): <b>If you selected this option, you only need to answer the following questions:</b> 1. What is the host-vector system that will be used ( <i>E. coli</i> , K12, etc.): 2. What is the insert gene and source:	III-F
<input type="checkbox"/>	Other: Explain and <b>site</b> NIH section number:	

### C. Biosafety Information

Determination of Biosafety Level (BSL)	
<b>Check the Risk groups (or Class) of all material(s) used in this project in the boxes below</b>	
<input type="checkbox"/> Risk Group 1	<i>Please reference Appendix B of the NIH Guidelines (see below) for assistance with classification.</i>
<input type="checkbox"/> Risk Group 2	
<input type="checkbox"/> Risk Group 3	
<input type="checkbox"/> Risk Group 4	
<b>Describe the potential Biosafety risks of this research proposal below: (Risk Assessment)</b>	
Pathogenicity	
Route of transmission	
Agent stability	
Infectious dose (indicate host)	
Concentration (identify as stock concentration or concentration employed in experiment such as dose, etc.)	
Origin	
Availability of effective prophylaxis	
<b>Check the highest biological safety level required for this project</b>	Please reference Appendix G of the NIH Guidelines for additional information on Biosafety Containment Level descriptions and the BMBL.
<input type="checkbox"/> BSL-1, BL-1P, ABSL-1	Low risk agents, special containment equipment not required
<input type="checkbox"/> BSL-2 ,BL-2P, ABSL-2	Moderate risk agents, biosafety cabinets, restrictions to research areas
<input type="checkbox"/> BSL-3, BL-3P, ABSL-3	High risk agents, BSL-3 containment facilities, and practices

NIH Guidelines [http://oba.od.nih.gov/rdna/nih\\_guidelines\\_oba.html](http://oba.od.nih.gov/rdna/nih_guidelines_oba.html)

Biosafety in Microbiological and Biomedical Laboratories (BMBL) <http://www.cdc.gov/od/ohs/biosfty/bmb15/bmb15toc.htm>

#### D. Specific Vectors and rDNA Agents:

List all Plasmids used: ↓	List all Oligonucleotides used as a therapeutic agent: ↓	List all Inserted DNA used: (Mark with * if oncogenes or toxins) ↓
Could toxic products (LD50 of <100 µg/Kg) be produced and released from this research →	<input type="checkbox"/> yes <input type="checkbox"/> no	If YES, describe the toxic product(s) and containment precautions: ↓

Does the work involve microorganisms or non-vertebrate animal models? →	<input type="checkbox"/> yes <input type="checkbox"/> no	If YES, provide details as related to this project in the boxes below: ↓	
List all Bacterial, Fungal agents used in the box below: ↓	List all Viruses, Viral Vectors and Phages used in the box below: ↓	List all Eukaryotic Cells, Organisms, Cell Lines or others in the box below: ↓	List other organisms below (e.g. amoebas, nematodes): ↓
Is the organism infectious to human cells? →	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a	If YES, provide details below: ↓	
Is the organism a retrovirus or	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a	If YES, provide the type and the viral	

lentivirus? →		envelope used in the box below: ↓
Is a Helper virus or Packaging System used in this project? →	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a	If YES, provide packaging line and/or plasmids used in the box below: ↓
Is split packaging used in propagation of the vector system? →	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a	If YES, provide details below: ↓
Is the virus self-inactivating? →	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a	If YES, provide details below: ↓
Does the work involve the expression of a toxin gene or an oncogene? →	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a	If YES, provide details below: ↓
Has the vector (product) been tested for RCV (Replication Competent Virus)? →	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a	If YES, provide details of the assay used to test for RCV below: ↓

Will work involve use of a CDC Select Agent? →	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a	If YES, complete Biological Agent Registration Form.
Will work involve use of a USDA/APHIS Restricted Animal Pathogen →?	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a	If YES, complete Biological Agent Registration Form.

## E. Research Facilities

Room and Building for all locations of this project ↓	Procedures performed in each location ↓	BSL – Currently approved biosafety containment level for EACH procedure room ↓	Last inspection date for each location ↓

### NOTE:

**If work is to be conducted at biosafety level 2, the lab must be registered as such. Contact the Biological Safety Officer for more information. The Biosafety Standard Operating Procedures (SOPs) for each location must be available for review. Submitting them with the application is recommended to help avoid delays in approving the work.**

## F. Animal Use Information

Does the work involve use of vertebrate animal(s)? →	<input type="checkbox"/> yes <input type="checkbox"/> no	If NO, this section is complete. If YES, complete Appendix B.
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